

10-18-01

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Date: October 15, 2001

By:

Kay L. Gaviglio



05100

PATENT TRADEMARK OFFICE

PATENT
 Docket No. GC362-2D1-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT
APPLICATION TRANSMITTAL

Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

Dear Sir:

Enclosed for filing is the utility patent application

- ☐ Continuation
☒ Divisional
☐ Continuation-in-Part

of prior application No. 08/952,445 filed November 18, 1997.

Inventors: William S. Borneman and Benjamin S. Bower

Title: **ESTERASE ENZYMES, DNA ENCODING ESTERASE ENZYMES AND
 VECTORS AND HOST CELLS INCORPORATING SAME**

Also enclosed are:

1. ☒ Fee Transmittal Form submitted in duplicate
2. ☒ Specification Total Pages: 30
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Federal Sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets: 10 sheets
4. ☒ Oath or Declaration Total Pages: 2
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))

10/15/01
 11055 U.S. PTO

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 09/981430
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5. ☒ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☒ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Copy (diskette)
- b. ☒ Paper Copy
- c. ☒ Statement verifying identity of above copies
- d. ☒ Request for Use of An Identical Computer Readable Form in Another Application Under 37 C.F.R. § 1.821(e)

Accompanying Application Parts:


- 8. ☐ Assignment Papers (cover sheet and documents)
- 9. ☐ 37 CFR 3.73(b) Statement (when there is an Assignee)
- 10. ☐ English Translation Document
- 11. ☒ Information Disclosure Statement (IDS/PTO-1449)
- 12. ☒ Preliminary Amendment.
- 13. ☒ Amend the specification by inserting before the first line the sentence:
--This is a ☐ continuation ☒ divisional ☐ continuation-in-part of application
Serial No. 08/952,445, filed November 18, 1997--.
- 14. ☒ Cancel in this application original claims 1-22, 24, 31, an 32 of the prior
application before calculating the filing fee.

The filing fee has been calculated as shown below:

	No. of Claims	Extra Claims	Rate	Fee
Basic Application Fee				\$740
Total Claims	14	MINUS 20 = 0	X \$ 18	-0-
Independent Claims	3	MINUS 3 = 0	X \$ 84	-0-
If Multiple Dependent Claims are presented, add \$270				-0-
Add Recording Fee of \$40.00 if Assignment document enclosed				-0-
Total Application Fee Due				\$740.00

- ☒ Please charge \$740.00 to Deposit Account No. 07-1048 (Docket No. GC362-2-D1US). This paper is submitted in duplicate.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC362-2D1US). This paper is submitted in duplicate.
- ☒ Any additional fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application, or credit any overpayment, to Deposit Account No. 07-1048 (GC GC362-2D1US). This paper is submitted in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,


Christopher L. Stone
Registration No. 35,696

Genencor International, Inc.
925 Page Mill Road
Palo Alto, CA 94034-1013
Tel: (650) 846-7500
Fax: (650) 845-6504

Date: October 15, 2001